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## UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. **27615-CNT2** 

Total Pages in this Submis story

72 ⊃ ○

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### TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

					Alexandria, V	A 22313-145	)	•
			iling under 35	U.S.	C. 111(a) and 3	7 C.F.R. 1.53	(b) is a new utility patent ap	plication for an
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SHAC	J, Xie, C	OX, Robe	ert C., DESHI	'AND	E, Shreeram V.,	FLAIM, Tony	y D., and PULIGADDA, Ran	na
					, , ,	, , , , , , , , , , , , , , , , , , ,	the requisite information:	
	ntinuat	ion 🗀	Divisional	Ш	Continuation-	in-part (CIP)	of prior application No.:	09/961,751
Which is		. –			• " "			
	ntinuat	ion 🗀	Divisional	Ц	Continuation-	in-part (CIP)	of prior application No.:	09/450,966
Which is			District	<u></u>	0 4! 4!	:	af anian anntication No.	
⊔ Co	ntinuat	ion 🗀	Divisional	ш	Continuation-	in-part (CIP)	of prior application No.:	
Enclose	d are:							
					Application	n Elements		
1. [	X) Filin	g fee as o	calculated and	tran	smitted as desc	ribed below		
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2. (	⊠ Spe	cification	naving		57	_ pages and ii	ncluding the following:	
	a. 🛚	Descript	ive Title of the	Inve	ention			
	b. 🗵	Cross R	eferences to I	Relat	ed Applications	(if applicable)		
	с. 🗆	Stateme	nt Regarding	Fede	erally-sponsored	Research/De	velopment (if applicable)	
	d. 🔲	Reference	ce to Sequen	ce Lis	sting, a Table, o	a Computer	Program Listing Appendix	
	e. 🗵	Backgro	und of the Inv	entio	n			
	f. 🗵	Brief Sur	mmary of the	Inver	ntion			
	g. 🔲	Brief De	scription of the	e Dra	wings (if filed)			
	h. 🗵	Detailed	Description					
	i. 🛚	Claim(s)	as Classified	Belo	ow .			
	j. 🗵	Abstract	of the Disclos	sure				

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(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. **27615-CNT2** 

Total Pages in this Submission 72

3.		Drawing(s) (when necessary as prescribed by 35 USC 113)										
	a.	□ Formal Number of Sheets										
	b.	☐ Informal Number of Sheets										
4.	$\boxtimes$	Oath or Declaration										
	a.	☐ Newly executed (original or copy) ☐ Unexecuted										
	b.	☑ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)										
	C.	☑ With Power of Attorney ☐ Without Power of Attorney										
	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).										
5.	$\boxtimes$	Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.										
6.		CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)										
7.		Application Data Sheet (See 37 CFR 1.76)										
8.		Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)										
	a.	☐ Computer Readable Form (CFR)										
	b.	☐ Specification Sequence Listing on:										
		i. ☐ CD-ROM or CD-R (2 copies); or										
		ii. 🔲 Paper										
	C.	☐ Statement(s) Verifying Identical Paper and Computer Readable Copy										
		Accompanying Application Parts										
9.		Assignment Papers (cover sheet & document(s))										
10.		37 CFR 3.73(B) Statement (when there is an assignee)										
11.		English Translation Document (if applicable)										
12.		Information Disclosure Statement/PTO-1449										
13.	$\boxtimes$	Preliminary Amendment										
14.		Return Receipt Postcard (MPEP 503) (Should be specifically itemized)										
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)										
16.	X	Certificate of Mailing										
		☐ First Class ☒ Express Mail (Specify Label No.): EL 993325718 US										

**Application Elements (Continued)** 

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. **27615-CNT2** 

Total Pages in this Submission 72

		Accompanying Application Parts (Continued)
17.	$\boxtimes$	Applicant claims small entity status. See 37 CFR 1.27.
		☐ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted:
18.	$\boxtimes$	Additional Enclosures (please identify below):
		Petition for Extension of Time (27615-CNT1, S/N 09/961,751)  1-Month Extension Fee Check (\$55.00)
10		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)  Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be
13.	J	published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
		Warning
		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

## UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

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### **Fee Calculation and Transmittal**

#### **CLAIMS AS FILED** #Filed #Allowed Rate For #Extra Fee \$216.00 \$9.00 **Total Claims** 44 - 20 = 24 13 10 \$43.00 \$430.00 Indep. Claims - 3 = \$0.00 Multiple Dependent Claims (check if applicable) \$385.00 **BASIC FEE** \$0.00 OTHER FEE (specify purpose) **TOTAL FILING FEE** \$1,031.00

△ A check in the amount of \$1,031.00 to cover the filing fee is enclosed.
 △ The Director is hereby authorized to charge and credit Deposit Account No.

redit Deposit Account No. 19-0522

as described below.

☐ Charge the amount of

as filing fee.

☑ Credit any overpayment.

□ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance,

pursuant to 37 C.F.R. 1.311(b).

Dated: October 20, 2003

Tracy L. Bornman, Esq. Reg. No. 42,347

Reg. No. 42,3

PATENT TRADEMARK OFFICE

CC:

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Docket No.: 27615-CNT2

SHAO, Xie et al.

Group Art Unit No.: 1752

Serial No.:

Filed:

NON-AROMATIC CHROMOPHORES FOR USE IN POLYMER ANTI-REFLECTIVE

**COATINGS** 

Examiner: Lee, Sin J.

### **TRANSMITTAL**

Transmitted herewith are: Transmittal (1 page); Utility Patent Application Transmittal - Small Entity (4 pages); Patent Application (57 pages); Preliminary Amendment (6 pages); Copy of Combined Declaration and Oath (3 pages); Check for Filing Fee (\$1,031.00); Petition for Extension of time (1 page); Check for 1-Month Extension of Time (\$55.00); and return postcard.

EL 993325718 US

by

Express Mail No.

Respectfully submitted,

HOVEY WILLIAMS LLP

Dated: October 20, 2003

Tracy Bornman, Res. No. 42,347

**HOVEY WILLIAMS LLP** 

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Kansas City, Missouri 64108

816/474-9050

ATTORNEYS FOR APPLICANT